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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**OKI.285**

In Re Application Of: **Osamu Kikuchi**

Serial No.  
**NEW**

Filing Date  
**11/26/01**

Examiner  
**TO BE ASSIGNED**

Group Art Unit  
**TO BE ASSIGNED**

Title: **OPTICAL RECEIVING APPARATUS**

Address to:  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

J1046 U.S. PTO  
09/992000  
11/26/01

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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**OPTICAL RECEIVING APPARATUS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
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Dated: **NOV. 26, 2001**

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CC:

11046 U.S. PTO  
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11/26/01

(Use several sheets if necessary)

OKI.2

NEW

## Osamu Kikuchi

**NOV. 26, 2001**

### TO BE ASSIGNED

[illegible][illegible]

	C	The Syntactic Congress of the Institute of Electronics, Information and Communication Engineers B-10-128, pp. 637, "156Mbps Optical Receiver for Burst Mode Signal"
	D	The Syntactic Congress of the Institute of Electronics, Information and Communication Engineers SC-12-3, pp. 239, "156Mb/s 3.3 V Burst Optical Receiver LSI for FSN"

**DATE CONSIDERED**

**Form PTO-A820**  
**(also form PTO-1449)**

P09A/REV04

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**SHEET 1 OF 2**

**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

Docket Number (Optional)

OKI.2

Application Number

NEW

Applicant(s)

Osamu Kikuchi

Filing Date

NOV. 26, 2001

Group Art Unit

TO BE ASSIGNED

EXAMINER

INITIAL

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

E

The 1997 8th International Workshop on Optical/Hybrid Access Networks Conference Proceedings Poster Session, pp.12-18,  
"A 156 Mb/s CMOS Optical Receiver ICs for Burst-mode Transmission"

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.